

ORIGINAL ARTICLE

CLINICAL PRESENTATION, RISK FACTORS AND ETIOLOGY OF LUNG ABSCESS

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ABSTRACT

Background: A lung abscess is characterized by a necrotic lesion with marked cavitation and exudate within the lung parenchyma. The objective of this study was to identify the most common causative agent of lung abscess within our target population.

Methods: Retrospective data from two consecutive years was obtained from the pulmonology department at Jinnah Postgraduate Medical Centre, one of Pakistan's largest public hospitals. A total of 41 cases of lung abscess were identified on the bases of clinical, radiological and microbiological evidence. These cases were then analysed to establish a link between the incidence of abscess and key factors such as the most common causative organism, the correlation of age and gender and the location within the lung parenchyma.

Results: Sputum for routine culture and sensitivity (C/S) showed *Pseudomonas Aeruginosa* (29.3%) as the most common causative organism. Correlation between abscess and risk factors such as history of smoking (65.9%), poor oral hygiene (56.1%), diabetes (43.9%) and alcoholism (14.6%) have been discussed and compared to previous publications. The frequency of common symptoms such as productive cough (90.2%), fever (82.9%) hemoptysis (58.5%) and clubbing of fingers (46.3%) have been analysed and a contrast is drawn in some instances between our obtained values and pre-existing data. The most common site of involvement is the lower lobe of the right lung (51.2%).

Conclusion: *Pseudomonas Aeruginosa* was found to be the most common causative bacteria within the population for lung abscess while smoking was shown to be the most common risk factor.

KEYWORDS: Lung abscess, *Pseudomonas Aeruginosa*, Smoking, Hemoptysis

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INTRODUCTION

By definition, a lung abscess is an area of localized destruction of lung parenchyma with opacity and an air fluid level visualized on a chest radiograph¹. It is characterized by a pus-filled necrotic lesion with marked cavitation of at least 2 cm¹³. Before the advent of antimicrobials, the mortality of patients having lung abscesses was about one third of all diagnosed cases². The earliest classical studies on lung abscess development were performed by David Smith³⁰ in the 1920's and have since paved way for many studies leading to a better under-

standing of the clinical presentation, risk factors and etiology of a lung abscess.

Previously identified predisposing risk factors that contribute to the formation of lung abscesses are dental infections, drug abuse, alcoholism, diabetes, elderly, convulsions, malnutrition, corticosteroid therapy, GERD, immunosuppressant therapy, bronchial obstruction, coughing disorders and comas¹⁷⁻¹⁹. Diminished clearance mechanisms of the respiratory tract along with the volume and frequency of aspiration are also well known risk factors¹⁴. Early signs and symptoms of a lung

abscess cannot be easily differentiated from those found in pneumonia. These signs include fever, night sweats, cough, shivering, weight loss, dyspnea, chest pain and fatigue. Later signs include productive cough with haemoptysis and clubbing of the fingers²³.

For many decades, anaerobic bacteria were the dominant microbes found in a lung abscess¹⁵ but now, over 90% of cases are diagnosed with polymicrobial infections¹⁶. The most commonly isolated bacteria in lung abscesses are usually the gram-negative anaerobes (*Bacteroides fragilis*, *Fusobacterium Capsulatum* and *Necrophorum*) and gram positive anaerobes (*Peptostreptococci* and microaerophilic streptococci). Aerobic bacteria also isolated include *Staph aureus*, strep pneumonia (and pyogenes), *Klebsiella pneumonia*, *Pseudomonas Aeruginosa*, *H. Influenza*, *Aciteno-bacterspp*, *E. coli* and *Legionella*²⁰⁻²².

Due to the recent advancements in antimicrobial therapy, many excellent drug choices are available to treat lung abscesses today. The prognosis is highly dependent on the initial therapy; however the outcome remains poor in elderly, malnourished, debilitated and diabetic patients³. Prognosis was also shown to be poor in patients with a large lung abscess, when an abscess is located in the right lower lobe and when patients are infected with *Pseudomonas aeruginosa*, *Staphylococcus aureus* and *Klebsiella Pneumoniae*³.

METHODS

A retrospective study was conducted in the Department of Pulmonology at Jinnah Postgraduate Medical Centre, in Karachi, Pakistan. The past records of the department were reviewed to extract data of two consecutive years, after which a total of 41 cases were diagnosed with having lung abscesses. These cases were included in the study based on clinical, radiological and microbiological evidence. Patient history of relevant risk factors, that could be directly causative of the abscess (i.e. Tuberculosis, smoking, poor oral hygiene, diabetes, malignancy,

sinusitis and pneumonia) were also taken into account while evaluating the collected data. The presentation of clinical symptoms (i.e. cough, fever, haemoptysis and clubbing along with lung and lobar involvement) were studied as well. The retrospective results of routine culture and sensitivity (C/S) were obtained previously by carrying out Acid Fast Bacilli (AFB) smear along with gram stain of sputum and blood, and sputum.

RESULT

In this study, the ratio of males to females with lung abscesses was found to be 2.73:1. Out of the 41 patients taken into consideration, 73.2% were males and 26.8% were females. Distribution of age group varied from 16 to 86 and the mean age of the patients was calculated to be 44.10±15.90. The most affected age group was found to be between 41-60 years (51.2%) followed by 20-40 years (29.3%).

TABLE 1: AGE DISTRIBUTION OF LUNG ABSCESS PATIENTS

| | N | N% |
|-------|----|-------|
| <20 | 4 | 9.8% |
| 20-40 | 12 | 29.3% |
| 41-60 | 21 | 51.2% |
| >60 | 4 | 9.8 |

Of all the Microbiological diagnostic tests performed, blood cultures were found to be the least sensitive (80.5% of the cases revealed no growth of any organisms. Other diagnostic tests such as sputum gram staining indicated the presence of Gram negative rods and Gram positive cocci in 36.6% of all cases. The Acid Fast Bacilli (AFB) smear test was positive in 22% of the 41 cases. Sputum for routine culture and sensitivity (C/S) was done and *Pseudomonas Aeruginosa* was found to be the most common organism (in 29.3% of all cases).

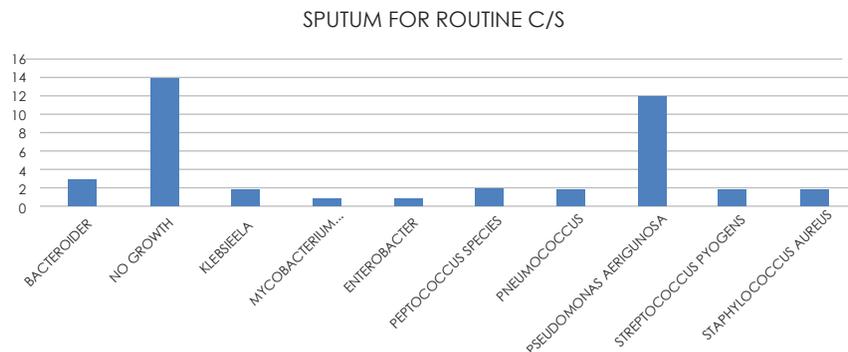


Figure 1: Number of lung abscess cases with common bacteria.

When the presenting symptoms of all the cases were taken into consideration, 90.2% of the patients

presented with productive cough and 82.9% of all patients also presented with fever.

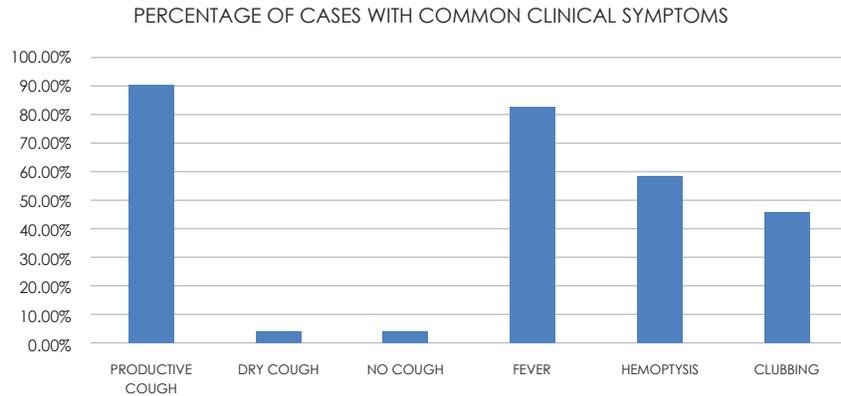


Figure 2: Percentage of cases that presented with common clinical symptoms of the total number of patients⁴¹ in the study.

A radiological investigation of all the cases revealed that bilateral lung involvement was

common and the most common site of involvement of a lung abscess is the lower lobe of the right lung.

TABLE 2: LUNG LOBE INVOLVEMENT IN ALL CASES.

| | N | N% |
|-------------------------|----|-------|
| LUNG INVOLVEMENT | | |
| SINGLE | 18 | 43.9% |
| BOTH | 23 | 56.1% |
| RIGHT LUNG | | |
| RIGHT UPPER LOBE | 10 | 24.4% |
| RIGHT MIDDLE LOBE | 10 | 24.4% |
| RIGHT LOWER LOBE | 21 | 51.2% |
| LEFT LUNG | | |
| NO INVOLVEMENT | 17 | 41.5% |
| UPPER LOBE | 5 | 12.2% |
| LOWER LOBE | 17 | 41.5% |
| UPPER AND LOWER LOBE | 2 | 4.9% |

An assessment of predisposing factors showed that poor oral hygiene was a possible common cause. Some history of smoking (past or current) and a history of alcoholism have also been correlated with the formation of lung abscess. An investigation of

chronic diseases revealed that from the 41 patients assessed, Diabetes and tuberculosis were common while sinusitis, Pneumonia and a history of malignancy were also associated with lung abscess patient.

TABLE 3: PERCENTAGE OF CASES WITH PREDISPOSING RISK FACTORS

| | POOR ORAL HYGIENE | HISTORY OF SMOKING | HISTORY OF ALCOHOL | DIABETES | TUBERCULOSIS | SINUSITIS | PNEUMONIA |
|--------------------------------------|---------------------|---------------------|--------------------|---------------------|-------------------|---------------------|------------------|
| NUMBER OF CASES ⁴¹ | 56.1% ²³ | 65.9% ²⁷ | 14.6% ⁶ | 43.9% ¹⁸ | 39% ¹⁶ | 26.8% ¹¹ | 22% ⁹ |

*Percentage of people having these risk factors as opposed to people who present with lung abscesses without a history of these risk factors.

DISCUSSION

Lung abscess can be classified based on certain factors such as duration (less than 6 weeks is considered acute and more than 6 weeks is considered chronic)²³. Another means of classifying a lung abscess is by etiology: Lung abscesses can be primary due to aspiration of oropharyngeal secre-

tions, necrotizing pneumonia and immunodeficiency; or secondary due to some bronchial obstruction, hematogenic dissemination, and spread of infection from the mediastinum (or subphrenium) or because of a coexisting lung disease¹⁵. The mechanism of formation of a lung abscess is either bronchogenic (the major mode being aspiration of microbes from the oral cavity) or hematogenic

(disseminated from another infected site) ¹⁵.

After analysis of the data obtained in this study, the male to female ratio of patients with lung abscesses was found to be 2.73:1, which is similar to the 3.5:1 ratio found in previous literature ⁶. The mean age of patients was calculated as being 44.10±15.90, which is quite similar to the age groups observed by Bhattacharyya (45.15 years)¹ and Hirshberg (52 years)³. According to Takeshi Mori the incidence of lung abscess is greater in the age group of 40-60 years⁴, similarly in our research the most affected age group was found to be between 41-60 years. Other studies have also observed this age group as being more affected than others¹.

The observed site of lung involvement was consistent with existing literature. Therefore, the most commonly involved site was the lower lobe of the right lung, present in 51.2% of cases. This data is similar to the 64.5 % involvement of the right lung and 35.5% of the left in a study by Hardy and Hagan⁸, 73% and 27% involvement of the right and left respectively in a study by Schweppe⁹ and 81 (67.5%) and 36 (30%) involvement of the right and left lungs respectively in a study by Bhattacharyya ¹.

In the initial stages of disease, lung abscess presents with chills, fever, fatigue, chest pain and cough that is often non-productive. With progression of the disease the cough may be accompanied with blood and in chronic cases clubbing of the fingers²³.

The most common presenting symptom was purulent cough, noted in 90.2% followed by fever in 82.9%, matching with previously seen patterns^{1, 6}. Our study showed that 58.5% of the patients had hemoptysis. Older studies^{1,6} showed that 38.3% and 34% of the cases presented with hemoptysis.

The frequency of clubbing in our study was 46.3% which was lower than in previous literature, showing statistics of 68.5% and 78% ^{1,6}. Digital clubbing presents bilaterally and is usually painless, and unnoticed by the patient. This is a strong indicator of chronic illness and is most predictive of underlying pulmonary diseases including lung abscess²⁶. It is thought to be caused by platelet-derived growth factor and vascular endothelial growth factor although the exact pathophysiology is yet to be discovered²⁷.

History of smoking was identified as the most important risk factor for lung abscess, with 65.9% of the patients being smokers. As per published data from a study in Japan¹², 75% of the patients were found to be smokers, as compared to 57.5% of the patients being smokers in a study in India¹ and 57% in a study in Taiwan¹¹. Diabetes Mellitus is also a noticeable predisposing factor for lung abscess in our study, with 43.9% of the subjects suffering from it, just as it has been established as an important risk factor in

several previously published studies^{11, 12, 13}. Poor Oral hygiene was noticed in 56.1% in our study, which is comparatively lower than the 64% seen in the study by Bhattacharyya¹. History of Tuberculosis was found positive in 39% of the patients, whereas history of sinusitis was evident in 26.8%. Previously alcohol intake has been correlated with a decrease in alveolar macrophage activity⁷ and heavy drinking has been strongly associated with causing lung infections²⁴. History of alcohol was found in about 14.6% of the cases in this study in contrast to 18.5%⁸, 22.5%⁹ and 33%¹⁰ which was noted in various other studies. This value may be a limiting factor due to the social stigma associated with consumption of alcohol in our society, and the consequent hesitation in disclosing alcohol related history.

A deep inquiry on the subject has revealed that Mycobacterium spp, Aspergillus, Cryptococcus, Histoplasma, Blastomyces, Coccidioides, Entamoeba histolytica, Paragominus westermani are all known causative pathogens for lung abscesses²⁵. In this study the most common organism on sputum culture and sensitivity was found to be Pseudomonas Aeruginosa with a 29.3% positive result contrary to other studies, where Klebsiella pneumonia has been found to be the most common cause of lung abscesses¹⁰. Affecting the right lung more than the left ^{1,5,6}.

Initial management is carried out using broad spectrum antibiotics for the treatment of lung abscess. If there is no response to the drug therapy, then surgical or percutaneous drainage is often carried out²⁸. Actinomyces and Nocardiaasteroides are also known etiological pathogens but they require a longer duration (6 months) of antibiotic administration²⁵.

A lung abscess often forms as a consequence of aspiration of anaerobes, resulting in aspiration pneumonitis which eventually progresses to an abscess due to tissue necrosis. Periodontal disease, oropharyngeal infection and tricuspid valve endocarditis can lead up to the development and formation of lung abscess²⁹. Smoking has been highlighted by our data as the most concerning risk factor for lung abscess in the setting of a tertiary care public hospital. To prevent the occurrences of this potentially fatal disease, careful attention must be paid to the minimization of aspiration. This can be achieved by carrying out various precautionary measures in a medical setup such as maintaining the reclined angle of patients who are at risk at 30°. Immediate intubation should be considered in patients who are likely to aspirate by coughing or recurrent gag reflex²⁹. Infection by anaerobes can also be avoided by paying more attention to and creating awareness about oral hygiene and the associated dangers with lack thereof.

CONCLUSION

The occurrence of lung abscess in our target population has shown the greatest association with *Pseudomonas Aeruginosa*, preexisting diseases such as diabetes and the presence of signs and symptoms such as clubbing. Further inquiry of recurrence within this population could help identify preventative factors that can reduce incidence and decrease mortality from lung abscess.

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